

PERFORMANCE AGREEMENT

Made and entered into by and between

**Mr TSR Nkhumise
the Municipal Manager of
Thabazimbi Local Municipality
("the Municipal Manager")**

and

**M D Lottering
the Corporate Services Manager of
Thabazimbi Local Municipality
("the Manager")**

**for the financial year:
1 July 2009 to 30 June 2010**

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[Signature]

WHEREBY IT IS AGREED AS FOLLOWS:

1. INTRODUCTION

1.1 The Municipality has, in terms of Section 57(1)(a) of the Local Government: Municipal Systems Act, No. 32 of 2000 ("the Systems Act") entered into a contract of employment with the Manager for a period of 5 years, commencing on

1.2 Section 57(1)(b) of the Systems Act, read with the contract of employment concluded between the Parties, require the Parties to conclude an annual performance agreement.

1.3 The Parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Manager to a set of outcomes that will secure local government policy goals.

1.4 The Parties wish to ensure that there is compliance with Sections 57(4A), (4B) and (5) of the Systems Act as well as the contract of employment entered into by the Parties.

1.5 In this Agreement the following words will have the meaning ascribed thereto:

"this Agreement" - means the performance agreement between the Municipality and the Manager and the annexures thereto.

"the Executive Authority" - means the Executive Committee of the Municipality constituted in terms of Section 43 of the Local Government: Municipal Structures Act as represented by its chairperson, the Mayor.

"the Manager" - means the Corporate Services Manager directly accountable to the Municipal Manager in terms of Section 56(a) of the Systems Act.

"the Municipal Manager" - means the Municipal Manager appointed in terms of Section 82 of the Local Government: Municipal Structures Act, No. 117 of 1998.

"the Municipality" - means the Thabazimbi Local Municipality.

"the Parties" - means the Municipal Manager and the Manager.

2. PURPOSE OF THIS AGREEMENT

2.1 The Parties agree that the purposes of this Agreement are to:

2.1.1. comply with the provisions of Section 57(1)(b), (4A), (4B) and (5) of the Systems Act as well as the contract of employment entered into between the Parties;

2.1.2. specify objectives and targets defined and agreed with the Manager and to communicate to the Manager the Municipality's expectations of the Manager's performance and accountability in alignment with the Integrated Development Plan

(IDP), the Service Delivery Business Implementation Plan (SDBIP) and the budget of the Municipality;

- 2.1.3. specify accountabilities as set out in Annexure A;
- 2.1.4. monitor and measure performance against targeted outputs and outcomes;
- 2.1.5. use Annexures A, B and C as a basis for assessing the Manager for permanent employment and/or to assess whether the Manager has met the performance expectations applicable to his/her job;
- 2.1.6. appropriately reward the Manager in accordance with the Municipality's performance management policy in the event of outstanding performance;
- 2.1.7. establish a transparent and accountable working relationship; and
- 2.1.8. give effect to the Municipality's commitment to a performance-orientated relationship with its Manager in attaining equitable and improved service delivery.

3. COMMENCEMENT AND DURATION

- 3.1 Notwithstanding the date of signature this Agreement will commence on the **1st of July 2009** and will remain in force until a new performance agreement including a Performance Plan and Personal Development Plan is concluded between the Parties as contemplated in Clause 3.2
- 3.2 The Parties will review the provisions of this Agreement during June each year. The Parties will conclude a new performance agreement including a Performance Plan and Personal Development Plan that replaces this Agreement at least once a year by not later than the 31st of July each year.
- 3.3 The payment of the performance bonus is determined by the performance score obtained during the 4th quarter annual performance assessment as informed by the quarterly performance assessments.
- 3.4 The payment of a performance bonus for the year in which the Manager's contract of employment expires will be done as set out in clause 3.3 and the bonus so determined will be paid to the Manager on the last day of his/her employment or, subject to legal requirements, as soon as possible thereafter.
- 3.5 In the event of the Manager commencing or terminating his services with the Municipality during the validity period of this Agreement, the Manager's performance for the portion of the period referred to in clause 3.1 during which he was employed, will be evaluated and he will be entitled to a pro rata performance bonus based on his evaluated performance and the period of actual service.
- 3.6 The content of this Agreement may be revised at any time during the abovementioned period to determine the applicability of the matters agreed upon by the Parties.
- 3.7 If at any time during the validity of this Agreement the work environment alters (whether as a result of government or council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised.

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- 3.8 This Agreement will terminate on the termination of the Manager's contract of employment for any reason.

4. PERFORMANCE OBJECTIVES

- 4.1 The Performance Plan in **Annexure A** sets out:

- 4.1.1 the performance objectives and targets which must be met by the Manager; and
4.1.2 the time frames within which those performance objectives and targets must be met.

- 4.2 The Personal Development Plan in **Annexure B** sets out the Manager's personal developmental requirements in line with the objectives and targets of the Municipality.

- 4.3 The Core Competency Requirements (CCRs) reflected in **Annexure C** set out those management skills regarded as critical to the position held by the Manager.

- 4.4 The performance objectives and targets reflected in Annexure A are set by the Municipality in consultation with the Manager and based on the IDP, SDBIP and the budget of the Municipality, and include key objectives, key performance areas, target dates and weightings.

- 4.5 The key objectives describe the main tasks that need to be done. The key performance indicators provide the details of the evidence that must be provided to show that a key objective has been achieved. The target dates describe the time frame in which the work must be achieved. The weightings show the relative importance of the key objectives to each other.

- 4.6 The Manager's performance will, in addition, be measured in terms of contributions to the development objectives and strategies set out in the Municipality's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Manager agrees to participate in the performance management system that the Municipality adopts or introduces for the municipal management and municipal staff of the Municipality.

- 5.2 The Manager accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the municipal management and municipal staff to perform to the standards required.

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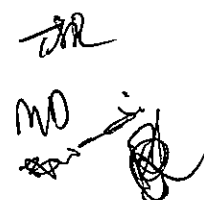
- 5.3 The Executive Authority and/or Municipal Manager will consult the Manager about the specific performance standards that will be included in the performance management system as applicable to the Manager.
- 5.4 The Manager undertakes to actively focus towards the promotion and implementation of his/her Key Performance Areas as set out in Annexure A including special projects relevant to the Manager's responsibilities within the local government framework.

6. PERFORMANCE ASSESSMENT

The performance of the Manager will be assessed against the outputs and outcomes achieved in terms of his/her Key Performance Areas (KPAs) as fully described in Annexure A and his/her Core Competency Requirements (CCRs) determined at the commencement of this Agreement with a weighting of 80:20 allocated to the KPAs and CCRs respectively. Therefore the KPAs that refer to the main tasks of the Manager account for 80% of his/her assessment while the CCRs make up the other 20% of the Manager's assessment score.

The weightings agreed to in respect of the Manager's KPAs attached as Annexure A are set out in the table below:

KEY PERFORMANCE AREAS (KPAS)	WEIGHT
Municipal Transformation and Institutional Development	
- Organisational structure, culture and climate	5
- General Administration including council support, contract administration, records management, fleet management and ICT	25
- Human Resources including personnel administration, skills development, employment equity, labour relations, occupational health & safety and performance management	25
Service Delivery and Infrastructure including the maintenance and cleaning of municipal buildings	5
Municipal Financial Viability and Financial Management	5
Good Governance, Public Participation, Accountability and Transparency including by-laws, policies and delegations	15
TOTAL PERCENTAGE	80%

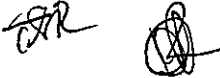

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The weightings agreed to in respect of the CCRs considered most critical for the Manager's position and further defined in **Annexure C** are set out in the table below:

CORE COMPETENCY REQUIREMENTS - CCRs		
CORE MANAGERIAL COMPETENCIES (CMC)	CHOICE	WEIGHT
Strategic Capability and Leadership		
Programme and Project Management		
Financial Management		
Change Management		
Knowledge Management		3
Service Delivery Innovation		
Problem Solving and Analysis		
People Management and Empowerment		4
Client Orientation and Customer Focus		
Communication		4
Honesty and Integrity		
CORE OCCUPATIONAL COMPETENCIES (COCs)		
Competence in Self Management		
Interpretation of and implementation within the legislative and national policy frameworks		3
Knowledge of Developmental Local Government		
Knowledge of Performance Management and Reporting		
Knowledge of Global and SA specific political, social and economic contexts		
Competence in Policy Conceptualisation, Analysis and Implementation		3
Knowledge of more than one functional municipal field or discipline		
Mediation Skills		
Governance Skills		3
Competence as required by other national line sector departments		
Exceptional and dynamic creativity to improve the functioning of the Municipality		
TOTAL PERCENTAGE		20%

The assessment of the performance of the Manager will be based on the following levels for KPAs and CCRs:

LEVEL	TERMINOLOGY	DESCRIPTION	RATING				
			1	2	3	4	5
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance Plan and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.					
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review / assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.					
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review / assessment indicates that the employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.					

An indicative rating on the five-point scale should be provided for each KPA and CCR using the following as guidance:

1	Unacceptable
2	Not fully effective
3	Fully effective
4	Above expectations
5	Outstanding

Each KPA and CCR should be assessed according to the extent to which the specified standards or performance indicators have been met and with due regard to ad hoc tasks that had to be performed to determine which rating on the five-point scale did the Manager achieved. The following criteria could assist:

Duration of task	- Was the target achieved within the projected time frame?
Level of complexity	- Required problem solving - Reconciling different perceptions - Innovative alternatives used
Cost	- within budget - saving - overspending
Constraints	- Did envisaged constraints materialise? - If so, were steps taken to manage/reduce the effect of the constraint? - If not, did it beneficially affect the completion of the target? - Any innovative/pro-active steps to manage the constraint

Annexure "B" may be used as the basis for progress discussions by the Municipality.

An applicable assessment rating calculator must be used to add the KPA and CCR scores and calculate final KPA and CCR percentages.

7. PANEL AND SCHEDULE FOR PERFORMANCE ASSESSMENTS

An assessment panel consisting of the following persons must be established to evaluate the annual performance of the Manager at the end of the 4th quarter:

- Municipal Manager
- Chairperson of the Performance Audit Committee or the Audit Committee in the absence of a Performance Audit Committee

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- A member of the Executive Committee and the relevant portfolio committee chairperson
- Municipal Manager of another municipality

In addition the following assessments may also form part of the annual performance evaluation at the end of the 4th quarter if so agreed between the Parties:

- Manager (own assessment)
- Fellow section 57 managers
- Divisional Heads reporting to the manager.

The performance of the Manager will be assessed in relation to his/her achievement of:

- the targets indicated for each KPA in Annexure A;
- the CCRs as defined in Annexure C

on a date to be determined for each of the following quarterly periods:

1 st Quarter	-	July to September
2 nd Quarter	-	October to December
3 rd Quarter	-	January to March
4 th Quarter	-	April to June

Assessments in the first and third quarter may be verbal if the Manager's performance is satisfactory.

The Municipality will keep a record of the mid-year and annual assessment meetings.

The Municipality may appoint an external facilitator to assist with the annual assessment.

The manager responsible for the corporate services of the Municipality must provide secretariat services to the evaluation panel for the annual performance assessment.

8. EVALUATING PERFORMANCE

The Manager will submit quarterly performance reports and a comprehensive annual performance report prior to the performance assessment meetings to the Municipal Manager.

The Municipal Manager will give performance feedback to the Manager after each quarterly and the annual assessment meetings.

The evaluation of the Manager's performance will form the basis for rewarding outstanding performance or correcting unacceptable performance.

At the end of the 4th quarter, the Executive Authority will determine if the Manager is eligible for a performance bonus as envisaged in his/her contract of employment.

A performance bonus of between 5% and 14% of the all-inclusive remuneration package may be paid to the Manager in recognition of above average or outstanding performance, which is constituted as follows:

A score of 75% to 100% is awarded a performance bonus ranging from 10% to 14%; and
A score of 65% to 74% is awarded a performance bonus ranging from 5% to 9%

as further set out in **Annexure D** hereto.

The results of the annual assessment and the scoring report of the Manager for the purposes of bonus allocation, if applicable, will be submitted to the Executive Authority for a recommendation to the full Council.

Personal growth and development needs identified during any performance assessment discussion, must be documented in the Manager's Personal Development Plan as well as the action steps and set time frames agreed to.

Despite the establishment of agreed intervals for assessment, the Municipal Manager may, in addition, review the Manager's performance at any stage while his/her contract of employment remains in force.

The Municipal Manager will be entitled to review and make reasonable changes to the provisions of Annexure "A" from time to time for operational reasons. The Manager will be fully consulted before any such change is made.

The provisions of Annexure "A" may be amended by the Executive Authority when the Municipality's performance management system is adopted, implemented and/or amended as the case may be subject to clause 5.3.

9. OBLIGATIONS OF THE MUNICIPALITY

The Municipality will create an enabling environment to facilitate effective performance by the Manager.

The Manager will be provided with access to skills development and capacity building opportunities.

The Municipality will work collaboratively with the Manager to solve problems and generate solutions to common problems that may impact on the performance of the Manager.

The Municipality will make available to the Manager such resources including employees as the Manager may reasonably require from time to time to assist him to meet the performance objectives and targets established in terms of this Agreement; provided that it will at all times remain the responsibility of the Manager to ensure that he complies with those performance obligations and targets.



The Manager will, at his request, be delegated such powers by the Municipality as may in the discretion of the Municipality be reasonably required from time to time to enable him to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- 10.1 The Executive Authority and / or Municipal Manager agrees to consult the Manager within a reasonable time where the exercising of the Executive Authority's and / or Municipal Manager's powers will –
- 10.1.1 have a direct effect on the performance of any of the Manager's functions;
 - 10.1.2 commit the Manager to implement or to give effect to a decision made by the Executive Authority and/or Municipal Manager;
 - 10.1.3 have a substantial financial effect on the Municipality.
- 10.2 The Municipal Manager agrees to inform the Manager of the outcome of any decisions taken pursuant to the exercise of powers contemplated in 10.1 as soon as is practicable, to enable the Manager to take any necessary action without delay.

11. CONSEQUENCE OF UNACCEPTABLE OR POOR PERFORMANCE

- 11.1 Where the Municipal Manager is, at any time during the Manager's employment, not satisfied with the Manager's performance with respect to any matter dealt with in this Agreement, the Municipal Manager will give notice to the Manager to attend a meeting with the Municipal Manager.
- 11.2 The Manager will have the opportunity at the meeting to satisfy the Municipal Manager of the measures being taken to ensure that the Manager's performance becomes satisfactory in accordance with a documented programme, including any dates, for implementing these measures.
- 11.3 The Municipality will provide systematic remedial or developmental support to assist the Manager to improve his/her performance.
- 11.4 If, after appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the Municipal Manager holds the view that the performance of the Manager is not satisfactory, the Municipal Council will, subject to compliance with applicable labour legislation, be entitled by notice in writing to the Manager, to terminate the Manager's employment in accordance with the notice period set out in the Manager's contract of employment.
- 11.5 Where there is a dispute or difference as to the performance of the Manager under this Agreement, the Parties will confer with a view to resolving the dispute or difference.

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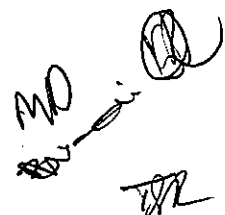

- 11.6 Nothing contained in this Agreement in any way limits the right of the Municipality to terminate the Manager's contract of employment with or without notice for any other breach by the Manager of his obligations to the Municipality or for any other valid reason in law.

12. DISPUTES

- 12.1 In the event that the Manager is dissatisfied with any decision or action of the Executive Authority and/or Municipal Manager in terms of this Agreement, or where a dispute or difference arises as to the extent to which the Manager has achieved the performance objectives and targets established in terms of this Agreement, the Manager may meet with the Municipal Manager with a view to resolving the issue. At the Manager's request the Municipal Manager will record the outcome of the meeting in writing.
- 12.2 If any dispute about the nature of the Manager's performance agreement whether it relates to key responsibilities, priorities, methods of assessment or any other matter provided for cannot be resolved through an internal mechanism as contemplated above, the dispute will be mediated by the Mayor within thirty (30) days of receipt of a formal dispute from the Manager whose decision shall be final and binding on both Parties.
- 12.3 If any dispute about the outcome of the Manager's performance evaluation cannot be resolved through an internal mechanism as contemplated above, the dispute will be mediated by a member of the Council, provided that such member was not part of the evaluation panel provided for in clause 7.1, within thirty (30) days of receipt of a formal dispute from the Manager whose decision shall be final and binding on both Parties.
- 12.4 In the event that the mediation process contemplated above fails, the relevant arbitration clause of the contract of employment will apply.

13. GENERAL

- 13.1 The contents of this Agreement and the outcome of any review conducted in terms of Annexure "A" will not be confidential, and may be made available to the public by the Municipality.
- 13.2 Nothing in this Agreement diminishes the obligations, duties or accountabilities of the Manager in terms of his/her contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

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Signed at Thabazimbi Municipality on this 18th day of Dec 2009.

As Witnesses:

- 1. M.R. Daba
- 2. Dosi

[Signature]
 Municipal Manager of
 Thabazimbi Local Municipality

Signed at Thabazimbi Municipality on this 18th day of Dec 2009.

As Witnesses:

- 1. Dosi
- 2. Debonye

[Signature]
 Corporate Services Manager of
 Thabazimbi Local Municipality

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CORPORATE SERVICES SCORECARD 2009-2010

Annexure A

VISION: To be the leading municipality that offers quality services to the broader community in the most economic, affordable, equitable and sustainable manner.
MISSION: To promote, co-ordinate, implement and ensure the financiality and environmentally sustainable growth and development of Thabazimbi with a diversified and viable economy that provides an environment and services that benefit all.

Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
1. Municipal Transformation and Institutional Development										
1.1 Organisational Design	Governance	Current organisational structure to be reviewed on an annual basis especially in services re-positioned but not implemented or not yet placed	Dept, Operational budget, Managers, MM, LLF	Annual audit/review of organisational structure completed	Improved organisational functioning and service delivery		Annual audit of organisational structure to ensure it is IIP aligned especially addressing services re-allocated but not implemented, i.e. solid waste and parks and services not yet placed, i.e. GIS	May-10	Corp Serv, MM, Managers	
	Organisational Culture & Climate Study	Institutional health of municipality requires continuous assessment of the culture and climate prevalent in the municipality	Dept, Operational budget, Managers, MM	Culture and climate study for each dept completed	Enables identification of problem areas, e.g. communication, identification with organisation, diversity management, etc.	Co-operation of all role-players essential	One culture and climate study per dept per annum	Ongoing, QR	Corp Serv, MM, Managers	
1.2 General Administration and Council Support	Council support	Fixed, pre-determined schedule of meetings but sudden changing of dates, postponement of meetings, etc. inlar alia due to late submissions of items and comments	Dept, Operational budget	Administrative support to ensure well functioning of Exco, Council and Portfolio committees	Sound administration and governance	Delays in decision-making causing managers' non-performance	Fixed schedule of all meetings	Ongoing	Corp Serv, MM	
	Portfolio Committees	Portfolio committee meetings except Finance and Planning not taking place	Dept, Operational budget	Accurate minuting of portfolio committee meetings	Political accountability	Risk to managers' performance	Minuting of meetings	Ongoing	Corp Serv, MM	
	Administrative Management	Agendas and minutes of Council meetings	Dept, Operational budget	Agendas to Councilors in time for proper preparation for meetings and quick writing up of minutes to ensure execution of decisions taken	Sound decision-making & good governance		Agendas of Council meetings despatched adequately prior to the Council meeting. All minutes completed and distributed within 10 working days; Schedule of Council resolutions for action within 2 working day	Ongoing	Corp Serv	
		Attendance of meetings as required, e.g. IMANCO, PFC, EXCO, Council, etc.	Dept, Operational budget	Memos to departments to highlight responsibilities to create certainty in roles and responsibilities and delegations	Good governance		Memos to departments regarding Council resolutions	Ongoing	Corp Serv, MM	
			MM, Managers, Operational budget	Preparation for and participation in meetings as scheduled	Knowledge based, sound decision-making	Unplanned events, e.g. illness or compulsory external meetings	Ongoing preparation for and attendance of meetings with governance and related structures	July 2009 onwards, QR	MM, Managers, Operational budget	

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Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress	
1.3 Human Resources	Contracts Administration	Management and administration of contracts is a legal compliance matter	Corporate Services, Operational budget	A database and filing system of all SAs, contracts and other agreements is maintained	Proper contract administration	Co-operation from Managers	Obtain copies of all contracts from Managers and continuous updating of database	Ongoing, QR	Corp Serv, Managers		
	Record Management	Record management system not serving the needs of the Municipality - no registry policy, plan and document management system inadequate	Corporate Services, Operational budget	TOR for a record management system and plan to access grant funding	Adequate administration		TOR developed	Feb-10	Corp Serv		
	Fleet management	Record management of low planning not adequate	Corporate Serv, PED, Operational budget	Correct filing of e.g. town planning and related applications	Sound administration	Lack of funding and training to implement satisfactory record system	Application for funding submitted to DIGH	Improved record management of town planning and related applications	Mar-10	Corp Serv	
		Council vehicles in a reasonable condition	Corporate Services, Operational budget	Management system in place	No misuse of council vehicles		Submitt Council Fleet Management Policy to Council	Jan-10	Corp Serv, MM		
	Batho Pele Principles	No policy on Council fleet	Corporate Services, Operational budget	Council policy on Fleet Management approved and implemented	Improved service delivery		Council Fleet Management Policy approved by Council	Mar-10	Corp Serv, MM, Managers		
		Transgressions investigated and individuals held accountable	Corporate Services, Operational budget	Assistance to Managers with disciplinary action against employees, if necessary	Council vehicles driven at the legal speed		Quarterly internal memo to all staff in all directorates to take note of Council policy	Apr-10	Corp Serv, Managers		
		Staff need to buy-in to Batho Pele Principles	Corporate Services, Managers, Operational budget	Unpacking of BP Principles	Proper customer care		Action taken against employee transgressions of Council Fleet Management Policy.	Ongoing, Mar 2010	Corp Serv, Managers		
	HR Strategy	Human resource strategy and plan followed but not documented	Corporate Services, MM, Operational budget	An approved and implemented HR Strategy and Plan	Effective human resource planning	Process to continue if funds allow	Each manager to ensure staff understand and committed to Batho Pele Principles	Ongoing			
	Job Descriptions	TASK job descriptions not received back from TASK Team Job Evaluation - Limpopo	Corporate Services, Operational budget	Receipt of previous job descriptions from TASK Team	Impasse with TASK resolved	TASK Team delays since 2004	Monitor implementation of BP Principles	Quarterly reports	Jan-10	Corp Serv, MM	
		New positions not yet job descriptions according to TASK	Corporate Services, Managers, Operational budget	Finalised new job descriptions submitted to the Job Evaluation Committee on receipt of previous job descriptions	Sound HR and clear communication of Council objectives for each job	Co-operation of all Managers	Developed TOR for a HR Strategy and Plan	Make provision for HR Strategy in the Budget	Mar-10	Corp Serv	

Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
	Staffing	T&E adopted a prioritised staffing programme to which allows with 34 positions filled since 1/07/09	Corporate Services, MM, Managers, Operational budget	Critical posts identified and filled	Ensuring organisational capacity and better services delivery	Co-operation of all role-players	Develop a plan to fill prioritised posts based on organisational study	July 2009, ongoing	Corp Serv, Managers, MM	
	Staff Retention	Draft staff retention policy	Corporate Services, operational budget, LLF	Staff retention policy to be finalised and submitted to Council	Scarce skills retained	Dependent on multiple role-players	Most critical posts advertised and filled Staff retention policy submitted to Council	Ongoing, QR May-10	Corp Serv, MM, Managers Corp Serv, MM	
	EAP	Focused Employee Assistance Programme	Corporate Services, operational budget, LLF Corp Serv, Operational budget, service provider	EAP Policy in place Professional service provider in place	Supportive workplace Multi-disciplinary approach to EAP	Co-operation of HODs	Staff retention policy implemented Focused EAP Policy implemented Appointment of Careways Group as from Jan 2010	June 2010, QR Ongoing, QR Jan 2010, ongoing, QR	Corp Serv Corp Serv Corp Serv, MM, Managers	
	Recruitment and Selection	Systematic recruitment and selection process optimises staff utilisation	Corporate Services, MM, Managers, Operational budget	Proper system maintained for recruitment, selection and appointment processes	Good administration	Co-operation of HODs	Ongoing implementation of policy HR administers the process of selection and appointment with transparency to HODs	QR Ongoing, QR	Corp Serv Corp Serv, MM, Managers	
		Induction programme in place	Corporate Services, operational budget	Induction programme maintained	Workplace orientation	Trained staff to implement the programme	Induction programme and implementation thereof	Ongoing, QR	Corp Serv	
		Practical guidance to staff re. Conditions of employment	Corporate Services, operational budget	Practical explanation of pension, medical, etc.	Empowerment of staff		Practical guidance to each new staff member/groups of new employees	Ongoing, QR	Corp Serv	
	Personnel Administration	Administration of leave, pension, provident, medical etc., of all employees	Corporate Services, operational budget	Efficient and effective processing of new appointments All leave and other record-keeping up to date in best interest of Council and employees	Legal compliance	Co-operation from finance	Irrespective of date, no. of new employees - all administrative processes, i.e. medical, pension, provident, etc. completed by 15th Effective personnel administration ongoing	Ongoing, QR Ongoing, QR	Corp Serv Corp Serv	
	Termination	Administration of all documentation related to employees' termination of employment, resignations, death, etc.	Corporate Services, operational budget	Daily administration of all personnel matters Termination of membership of medical, provident, pension, etc.	Good administration Effective administration		Effective personnel administration ongoing	Ongoing, QR	Corp Serv	
1.4 Employment Equity	EEPlan	EEPlan to be updated	Corporate Services, LLF, Operational budget, Managers	A reviewed EEPlan to ensure the required composition and to reflect gender targets	Legal compliance, Good governance, diversified staff component		Finalised EE Plan Council approved EE Plan Submit EE Reports to DOL Implementation and Monitoring of the EEPlan	Nov-09 Jan-10 Jan-10 QR	Corp Serv Corp Serv, MM Corp Serv, MM, Managers	

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Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
1.5 Skills Development	WPSP	Workplace Skills Plan to be updated annually	Corporate Services, MM, Managers, LLF, Operational budget	Finalised skills audit	Trained and developed staff, Legal compliance	Intra-departmental co-operation	Skills audit completed in a way that addresses all shortcomings as identified	Sep-09	Corp Serv, MM, Managers	
		Workplace Skills Audit in line with organisational study results & audit needs		Completed WPSP	Multi-skilling of identified staff		WPSP completed with courses identified to address shortcomings and submitted to LGSEITA	Sep-09	Corp Serv	
		Scarc Skills	Corporate Services, LLF, Operational budget	Scarc skill policy developed	Retention and attraction of qualified staff		Scarc funds claimed	Sept 2009 ongoing, QR	Corp Serv	
		Scarc Skills	Corporate Services, LLF, Operational budget	Scarc skill policy developed	Retention and attraction of qualified staff		Implementation of WPSP - at least 1 Scarc funded course presented per quarter but preferably more	QR	Corp Serv	
1.6 Integrated Development Plan (IDP)	Holistic planning	Council losing qualified electricians and plumbers due to non-market related remuneration	Corporate Services, LLF, Operational budget	Scarc skill policy developed	Retention and attraction of qualified staff		Draft Scarc skills policy developed	Feb-10	Corp Serv	
		Annually one to two learnerships	Corp Serv, Service Providers, SETA, DOL, Learners	Learners trained and gained practical experience	Skilled communities	Non-attendance of learners	Scarc skills policy submitted to Council Learners in different phases of training	Jun-10 Ongoing, QR	Corp Serv, MM Corp Serv	
		Assistance with training of Councilors	Corp Serv, Councilors, Service Provider	Courses for councilors identified and submitted to Council for approval and thereafter implemented	Capacitated Councilors		Identify courses for Councilors and submit to the Council for approval	Ongoing, QR	Corp Serv, MM	
		IDP to be annually reviewed	Dept, Operational budget, R100 000 MSG	Reviewed IDP	Legal Compliance		Arrange for Councilors to attend approved courses	Ongoing, QR	Corp Serv	
1.7 Performance Management System - PMS	Governance Programme	PMS still to be implemented in compliance with Municipal Systems Act and its Regulations	Council, Manco, LLF	Approved, implemented PMS compliant with MSA, Performance Regulations, 2001 & 2006	Improved service delivery, Legal compliance	Non-co-operation of sector departments	8 Steering Committee Meetings	Oct 2009 to May 2010, Quarterly Reports	MM Managers	
		No Performance Audit Committee (PAC)		Audit Committee extended to also serve as PAC (to sitise of MFMA, e45 of MSA, GNR 796 of 2001)	Implementation of Local Government Strategic Plan		9 Individual consultation meetings with sector depts and one integrated meeting	Nov 2009 to Mar 2010, Quarterly Reports	MM Managers	
					Satisfying community needs		8 Community consultations	Nov 2009 to Feb 2010, Quarterly Reports	MM Managers	
							4 IDP Forum meetings	Dec 2009 and May 2010, Quarterly Reports	MM Managers	
1.7 Performance Management System - PMS	Governance Programme						IDP aligned to the Budget Planning	Sept 2009 ongoing, MM/GFO Quarterly Reports	MM/GFO Managers	
							Performance agreements including individual scorecards of MM, S.57 managers and divisional heads completed and signed	Dec-09	MM Managers	
							PMS Implementation Policy agreed to by relevant parties and adopted by Council	Jan-10	MM Managers	

Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
1.8 ICT		PMS must be aligned to the IDP and the budget and the SDEIP		Integration of PMS with SDEIP			Rewards & Incentives Policy agreed to by relevant parties and adopted by Council	Jan-10	MM/Managers	
	IT Steering Committee	IT Steering Committeees to take place at least quarterly	Dept, Managers, Operational budget	Regular ITSC meetings for effective, risk based management of IT	Problem solving and pro-active IT management		Quarterly reports on the individual Scorecards by MM, SS7 Managers and Divisional Heads	QR	MM/Managers	
	IT Strategy	IT Strategy, risk assessment, risk register and risk information system to be in place	Dept, Managers, Operational budget	Updated IT Strategy, risk assessments, risk register and risk info system	Pro-active IT management		Quarterly Performance Evaluations of MM, Managers, Divisional Heads by stipulated panel	Quarterly	MM/Managers	
		Based on the above risk mitigating actions to be taken		Effective IT Management through implementation of risk mitigating measures	Risk taken note of and budgeted for and protection of Municipal information system		Annual performance appraisal of MM, Managers, Divisional Heads by panels	Jun-10	MM/Managers	
	Systems	Lack of ICT systems - hardware, software, servers, WAN/LAN, etc. ICT Security policy developed and implemented	Dept. funds available from Equitable share - R0,3m	Updating and refurbishment of ICT systems and peripheral equipment ICT Security policy implementation maintained	Legal compliance, improvement of IT and ICT	Reliability of service providers to deliver as agreed	Integration of PMS and SDEIP	Jun-10	MM/CEO MM/Managers	
				Licensed usage of software Built-in protection against viruses	Legal compliance		Finalisation of new performance agreements and scorecards	Jun-10	MM/Managers	
				Reviewed policy	Legal compliance, improvement of IT and ICT		ITSC meetings on a quarterly basis with each dept to be prepared for constructive input	QR	Corp Serv, Managers	
	Polices & procedures	IT policies and procedures to be reviewed	Dept, Operational budget, WDM	Updated, maintained website	Legal compliance, improvement of IT and ICT		Ongoing updating of IT strategy, risk assessments, risk register and information system	Ongoing, QR	Corp Serv, Managers	
	Website	Website to be updated	Dept, Operational budget - R80 000	Continuous updating of PAIA Manual and all required info on the website	Legal compliance, accountability		Ongoing upgrading of IT network through implementation of risk mitigating measures	Ongoing, QR	Corp Serv	
	Access to Information	Promotion of Access to Information Act, 2 of 2000 (PAIA) responsibilities to be adhered to	Dept, Operational budget				Ongoing upgrading of networks	Ongoing, QR	Corp Serv	
							ICT Security policy implementation ongoing	Ongoing, QR	Corp Serv	
							Software repairs and maintenance including virus scans	Ongoing, QR	Corp Serv	
							Purchase of software licenses in order of priority and affordability	Ongoing, QR	Corp Serv	
							Servers for e-mail, internet and back-up system	Ongoing, QR	Corp Serv	
						Internet wireless connections	Ongoing, QR	Corp Serv		
						Network optimisation	Ongoing, QR	Corp Serv		
						Hardware connection to all sites and cabling	Ongoing, QR	Corp Serv		
						Server room for IT hardware updated	Jun-10	Corp Serv		
						Computers, laptops purchased	Ongoing QR	Corp Serv		
						Policy with assistance from Waterberg District Municipality	Jun-10	Corp Serv		
						Websites updated at least bi-annually and maintained	Ongoing QR	Corp Serv		
						Updated PAIA Manual and website as required	Ongoing QR	Corp Serv		

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Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
1.10 Occupational Health and Safety	OHSA Committees & Staff	Established OHS committees meeting at least on a quarterly basis	Dept. Operational budget	Ensuring all workplaces comply with all OHS requirements	Safer and healthier workplaces		Schedule of quarterly meetings to be finalised and appointment of health and safety officers in place	Jan-10	Corp. Services, MM	
		OHS Policy in place	Dept. MM, Managers, Operational budget	Legally compliant H&S Audit and Plan completed	Reduce the liability risks of the Municipality Legal compliance Legal compliance	Legal compliance Legal compliance	Health examinations of exposed personnel done and education on use of PPE H&S Audit of all municipal buildings, facilities, PPE of staff completed by an inspection authority as per OHSA	Jan 2010, ongoing, OR Ongoing & OR June 2010, OR Corp. Services, Managers, MM	Corp. Services Corp. Services	
	OHS Audit and Plan	Lack of updated H&S audit of municipal facilities, offices, etc. and lack of recorded H&S Plan compliant with legal requirements	Dept. Operational budget	H&S shortcomings, and risks identified and prioritised	Safer and healthier workplaces	Lack of funding, expertise	Report on immediate risks including cost analysis thereof to Council H&S Plan completed & inspection sheets implemented	Mar-10 June 2010, OR	Corp. Services, MM Corp. Services	
1.11 Labour Relations	LLF	LLF schedule of meetings in place. Structuring of sub-committees problematic and lacking	Corporate Services, LLF, MM, Operational budget	Firm schedule of LLF meetings LLF sub-committees established	More effective administration Improved consultation	Co-operation of role-players Co-operation of role-players	Monthly LLF meetings LLF sub-committees for training and development, health and safety, employment equity, remuneration, HR, etc. established	Ongoing, OR June 2010, OR	Corp. Services	
		2. Service Delivery & Infrastructure								
2.4 Waste Management	Landfills	Norham landfill site operated on temporary contractual agreement	Dept. Operational budget, contractor	O&M Contract concluded and implemented	Improved operations and lifespan. Compliance with DWAF standards to avoid de-permitting	To get the right contractors	Appointment of a contractor for 10 yrs	Jun-10	Tech Serv, MM, CFO, Corp Serv	
		TBZ landfill operated by temporary contractor	Dept. Operational budget	O&M Contract for 3yrs concluded and implemented	Improved operations and lifespan	Contractor appointed and on site		Feb-10	Technical Services, Corp Serv, CFO, MM	
	Street Cleansing	Street cleansing is hampered by a lack of personnel	Dept. Operational budget	If affordable, a permanent team of street cleaners in all towns	Effective service delivery	Lack of funds	Personnel shortage to be addressed once IWMP implemented	Jun-10	Technical Services, Corp Serv	
	Database	Lack of a computerised database of waste services	Dept. Operational budget	Database completed	Effective service delivery, effective waste planning and management, input needed for IWMP	Lack of funding, capacity, computer literacy	Waste database planned and executed, if need be with assistance of IT	Jun-10	Technical Services, Corp Serv	

Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
2.3 HIV & AIDS	Mainstreaming of HIV/AIDS by all the departments	Important component of the workplaces	MM, Managers	Each department to indicate how HIV/AIDS is mainstreamed.	To have a well functioning HIV/AIDS program	Cooperation from the departments	If need exists, a workshop on mainstreaming	Ongoing, QR	MM, Managers	
		A substantial number of applications for revision of land use rights received per annum	Corp. Serv, PED, Operational budget	HIV & AIDS to be mainstreamed by 40%	Orderly development and legal compliance		To have HIV/AIDS logo and message in all the TBZ documents	Ongoing, QR	Corp. Services	
		Ongoing proclamation of townships	Corp. Serv, PED, Operational budget	Successful completion of approximately 50 - 60 applications per annum			To strengthen communication strategy of HIV & AIDS with ICT and Communication	Ongoing, QR	Corp. Services	
2.10 Spatial Development and Land Management	Township Proclamations		Corp. Serv, PED, Operational budget	Township proclamation of Regogole Ext 6, Ext 7 and Northern Ext 7	Landownership enabled		Inform applicant of Council resolution within one week	Ongoing, QR	Corp Serv, PED	
		Township establishment necessary	Corp. Serv, PED, Operational budget	Township establishment of Apeosoring and Roussauspoot	Landownership enabled		After proclamation PED submits package to CS for selling of stands	2010/11	Corp Serv, PED	
2.16 Municipal Buildings	Administration	No control over or monitoring of utilisation of the 6 community halls	Dept, Operational budget	Computerised register of hall usage	Sound administration		After establishment PED submits package to CS for selling of stands	2010/11	Corp Serv, PED	
		Lack of a lease agreement for utilisation of the halls	Dept, Operational budget	Lease agreement for usage of halls in place	Legally correct practices		A computerised records/register system in place	Jun-10	Corp Serv	
		No register/record-keeping	Dept, Operational budget	Close monitoring of the condition of the halls and adequate maintenance	Holding users of the halls accountable for the condition and ensuring Council assets are well looked after		A lease agreement approved and implemented	May-10	Corp Serv	
4. Municipal Financial Viability and financial management	Financial Viability & Planning	Schedule for cleaners in place	Dept, Operational budget	Schedule for cleaning services and proper co-ordination of such services maintained	Good administration and effective cleaning services	Co-operation of staff	Cleaning schedule and co-ordination in place	Ongoing, QR	Corp Serv	
		Incorrect or faulty procedures within all depis concerning financial related matters	CFO, MM, Managers, Dept, Operational budget	Reviewed procedures in all dept as an input to Financial Plan	Financially viable municipally	Co-operation of all managers	Reviewed procedures in all divisions	May-10		
		AFS not yet GRAP compliant	CFO, MM, Managers, Operational budget	Completed financial statements - 671 & 672 compliant	Qualified CFO only appointed in Nov 2009 therefore non-compliance to various matters	Financial Statements submitted including Performance information to AG		Aug-09		
		AG Report for 2008/09	CFO, MM, Managers, Operational budget	Action Plan to address AG Report 2008/09	Legal compliance	AG Report		Nov-09		
							Action Plan re AG Report	Dec-09		
							Management co-ordination	Jan-10		

Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
Annual Report	Annual Report	Preparation of Annual Report for 2009/10	CFO, MM, Corp Serv, Operational budget	Completed annual report for 2009/10	Legal compliance	Qualified CFO only appointed in Nov 2009 thus non-compliance to various matters, however due	Annual Report (including AG April Report, AFS & Council reply) for 2009/10 tabled in Council	Jan-10		
Budget	Budget	Budget 2010/11 approved	CFO, Operational budget	Completed Action Plan	Sound financial management		Report re. Management Letter	Feb-10		
SDBIP	SDBIP	SDBIP 2009/10 not adequate and SDBIP & PMS for 2009/10 not integrated. CFO only appointed in Nov 2009	CFO, MM, Managers, Operational budget	On date completion of budgetary processes Totally integrated SDBIP and PMS for 2010/11	Energy and cost effective management processes and reporting systems	MM and CFO offices to ensure role and responsibilities to SDBIP clarified	Internal budgetary processes Drafting of the SDBIP for 2010/11	Mar-10 Aug - Dec 2009 May-10		
Insurance	Insurance	SDBIP must be legally compliant and integrated with the PMS	MM, Managers	SDBIP for 2010/11 in place and approved	Effective service delivery and performance management	Inadequate SDBIP	Finalisation and approval of the SDBIP for 2010/11	Jun-10		
Tariffs	Tariffs	Service delivery spending should be in line with the capital budgeted even though not so spelled out in the 2009/10 SDBIP. Sound spending patterns are 12% in 1st Quarter, 40% in 2nd, 75% in 3rd and 95%+ in 4th Quarter	MM, Managers	Monthly and quarterly monitoring			Refining the integration of the SDBIP and the PMS for 2010/11	Jun-10		
Insurance	Insurance	Insurance portfolio in place and regularly reviewed	CFO, Operational budget	Revised insurance portfolio and updated insurance information	Legal compliance		All managers to strive to achieve sound spending patterns	July 2009 onwards		
Tariffs	Tariffs	Tariffs to be market related	CFO, MM, Corporate Services, Managers, Operational budget	Affordable but market related tariff structure	Effective revenue management		Insurance information continuously updated and portfolio annual reviewed and revised	Jun-10		
Property Rates	Property Rates	Tariff policy, draft tariff by-law. Tariffs to be market related	CFO, MM, Corporate Services, Managers, Operational budget	Updated policy as well as tariff by-laws approved, gazetted, implemented	Legal compliance		Annual review of tariff in synergy with IDP/Budget process timeable and Council approval thereof	CR, June 2010		
Expenditure Management	Expenditure Management	Property rates policy approved but to be revised annually	CFO, MM, Corp Serv, Operational budget, Service Provider	Revised implemented policy and practices maintained	Legal compliance		Review and approval of tariff policy, and by-law finalised, approved, gazetted	Jun-10		
		Management needs updating of knowledge to MFMA	CFO, Managers, Operational budget	Management with adequate knowledge to act in compliance with MFMA	Improvement of financial management	Gazette date uncertain - slow process	Policy revised and by-law finalised, approved, gazetted	Jun-10		
							Workshop for management to MFMA	Jan-10		
							All allowances, overtime, etc to be in line with budgetary provisions	Ongoing, CR		

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Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
5. Good Governance, Public Participation, Accountability and Transparency	Asset Management	Assets register and management GRAP compliant except unbundling of assets not completed but planned to be so within phased period	CFO, Managers, Operational budget	Asset register progressively compliant with GRAP	Legal compliance	All managers responsible	Progressive unbundling of assets and updating of asset register	Ongoing, OR		
	Salaries	Salary budget and payment processes in place	CFO, Operational budget	Salaries paid as per Conditions of Employment		Co-operation of departments	Salary budget in place	May-10		
	SCM	Municipal officials not well trained into SCM legal compliance processes	Dept, Operational budget, Capacity building funds	Training of the SCM Unit plus all municipal officials requesting procurement from the SCM Unit	Sound financial management and SCM processes	High risk of audit queries given non-compliance by municipal officials requesting procurement assistance	Educating Municipal officials into SCM through training identified and implemented	October 2009, OR		
		10% of expenditure not prior allocated to respective votes - all HODs not following procedure		100% expenses approved prior to service delivery or purchasing of goods		Dependency on HOD co-operation	100% compliance with SCM process	October 2009, OR		
		Outdated or lack of policies, i.e. Health and safety policy, fleet management policy, registry policy, housing policy, etc.	Corporate services, Operational budget, MM, Managers, LLF, MSIG R130 000	Updated, new policies approved and implemented	Legal compliance, sound administration	Co-operation of all managers	Gap analysis - legislation vis-à-vis existing policies	Jan-10 Corp Serv, MM, Managers		
		Important policies to be reviewed, i.e. Indigent, credit control and debt collection, tariffs, property rates, water services, SCM, etc.		Reviewed policies approved and implemented		Co-operation of all managers	Priority policies identified and timeframes determined	Feb-10 Corp Serv, MM, Managers		
		Policies not adequately implemented or not consistently implemented - lack of knowledge of municipal officials	Corp Serv, MM, Managers	Only direct employee related policies referred to LLF			At least four policies per quarter submitted to Council for approval	Ongoing, quarterly progress reports Corp Serv, MM, Managers		
				Implementation of policies monitored in co-operation with the Performance Audit Committee			All prioritized policies adopted by Council and implemented	Jun-10 Corp Serv, MM, Managers		
				Monitoring that policies are implemented and enforced	Legal compliance		Regular workshopping of relevant policies with municipal officials	Ongoing, quarterly progress reports Corp Serv, MM, Managers		
							Managers to report on how policies relevant to their departments are implemented	Ongoing, quarterly progress reports Corp Serv, MM, Managers		

Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
By-laws		A number of by-laws in process, public consultation completed but funding for promulgation lacking	Corporate Services, MM, Managers, Operational budget, DLGH	Prioritisation of by-laws, scheduling of promulgation	Legal compliance	Lack of funding, dependent on support from DLGH	Prioritisation of by-laws and schedule of promulgation completed	Jan-10	Corp Serv, MM, Managers	
				Prioritised by-laws promulgated		Dependent on funding received	Promulgation of most important by-laws	May-10	Corp Serv, MM	
				Implementation of gazetted by-laws	Regulation of municipal services		Final report with comments to Council	Jun-10	Corp Serv, MM	
				Implementation of by-laws monitored in co-operation with the Performance Audit Committee			Submitted to Province	Jun-10	Corp Serv, MM	
			Corporate Services, Services provider, MM, Managers, Operational budget	Funding to be sourced for next financial year in order to get these by-laws reviewed in synergy with reviewed policies and legislative requirements	Good governance, legal compliance	Dependent on funding obtained	Gap analysis of which by-laws needs to be reviewed	Quarterly reports	Corp Serv, MM, Managers	
				Monitor that by-laws are implemented and enforced	Legal compliance	Council ability to enforce by-laws	Prioritise by-laws to be reviewed and schedule a timeframe and draw up a budget for these	Mar-10	Corp Serv, MM, Managers	
Legal Prosecutions		By-laws not adequately implemented or not consistently implemented	Corp Serv, MM, Managers	Approval obtained from the National Prosecuting Authority and prosecutions being done	Effective and speedy law enforcement		Managers to report on how by-laws relevant to their departments are implemented and enforced	Ongoing, quarterly progress reports	Corp Serv, MM, Managers	
		Law enforcement will be more effective if the municipality can prosecute offenders	MM, Corp Serv, Operational budget					Liaison with NPA to obtain authority its s2(8)(b) of the NPA Act, 32 of 1998	Ongoing, QR	MM, Corp Serv
Anti-corruption & Fraud		Lack of an Anti-corruption and Fraud Strategy and policy	MM, Corp Serv, Managers, Operational budget	TOR to strategy developed	Accountable governance	Limited budget	TOR of strategy developed	Feb-10	MM, Corp Serv	
				Strategy and policy in place and implemented				Strategy and policy developed, approved and implemented	May-10	MM, Corp Serv, Managers
Delegations		Review of delegation system to ensure Council resolutions are implemented	MM, Corp Services, Managers, Operational budget	Finalisation of reviewed delegation system	Risk to managers' performance		Legally compliant delegation system to ensure Council resolutions are implemented	May-10	MM, Corp Serv, Managers	
		Strengthening Monitoring on the implementation of Council Resolutions	MM, Corporate services, software	Council Resolutions implemented promptly	Improve functioning of Council	Co-operation of staff, correct software		Receive reports on the implementation of Council Resolutions	Monthly to serve at EXCO	MM, Corporate Services, Managers

Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
	Contracts Management	Contract management as required into the MFMA and MSA not applied	Corporate Services, MM, Managers, Operational budget	Effective contract management and monitoring as legally prescribed	Good governance, legal compliance		Ongoing contract management and monitoring of all external contracts	QR		
	Property control	Legal processes to be in order	Corporate Services, Operational budget	Deeds of sales correctly done	Legal compliance		Consolidation of performance reports for inclusion in the Annual Report	Mar-10	MM, Corporate Services, Managers	
		Scholarships can not be fully provided with basic services until land owned by the municipality	Corporate Services, MM, Operational budget	Administrative processes involving the transfer of land completed	Ownership of land	Co-operation of current owner and slow transfer processes	Facilitation of deeds of sale	Ongoing, quarterly reports	Corp Serv, MM	
	Internal Audit & Risk Management	Audit plan to be continuously reviewed	MM, Managers, Operational budget	Audit plan reviewed and communicated to Audit Committee and management			Administrative processes completed	June 2010, ongoing	Corp Serv, MM	
		Risk assessments to form part of audit plan but IA Unit does not have capacity, expertise to attend to risk management on its own - interdept co-operation required	MM, Managers, IA, Audit Committee, Operational budget	Continuous risk assessment of departments, facilities, staff etc. as required by MFMA	Good, sustainable governance, financial management and administration	Lack of capacity and funding	Audit plan reviewed and communicated to Audit Committee and management	31-Jan-10	MM, Managers	
				Risk management system developed			Benchmark with WDM to ensure all risk management requirements taken into account and a legal compliant risk management plan is in place	Jan-10	MM, Managers	
							Updated risk assessments of all departments completed	Jan-10	MM, Managers	
							Updated risk profile of municipality completed	Mar-10	MM, Managers	
							Updated risk management system in place	June 2010, ongoing	MM, Managers	
							Each dept to develop an action plan for the risk areas identified within the risk assessments	June 2010 onwards	MM, Managers	
							Monitor the implementation of the action plan and provide quarterly progress reports	June 2010 onwards	MM, Managers	
	Intergovernmental Relations	ICR Clusters to be used for inter-governmental unity and support given challenges of WDM area	Managers, MM, Operational Budget	Attendance of District ICR Cluster meetings as applicable to each Department	Improved ICR	Lack of participation by the other UMs and Sector Departments	Full attendance of cluster meetings and feedback reports	QR		
	Vuna Awards 2010	Participation even though not yet a serious contender	Managers, MM, Operational Budget	Participation in Vuna Awards	To be used as a yardstick and benchmarking exercise for improvement of services		Gearing for participation by establishing the information required and collating such for 2010 Vuna entry	Jan-2010 onwards	Corp Serv, MM, Managers	
	Electoral Commission	Most suitable senior person to be appointed as electoral officer	MM, Corp Serv, Operational budget	Manager Corporate Services to be appointed as electoral officer		Only liaison obligations not to get involved to deal with any problems outside scope of brief	Manager Corporate Services approved by Council as Electoral Officer	Jan-10	MM, Corp Serv	

Key Performance Area	Related Prog/Goal	Baseline	Inputs	Outputs	Outcome	Risks/Constraints	Perf. Indicator/Target	Date	Resp.	Progress
	Communication	Review of the Communication Strategy	Corp Serv, MM, Operational budget	Implementation of revised communication strategy	Effective communication with stakeholders, public, media, etc		Communication strategy revised and Implemented	July 2008 onwards, QR	MM, Corp Serv	
		Various external communication projects and processes to be maintained	Corp Serv, MM, Managers, Operational budget	Effective management of external communications	Improved image of municipality and service delivery		All communication projects and events successfully executed, e.g. imbizos, media liaison, workshops with stakeholders, etc.	July 2008 onwards, QR	MM, Corp Serv	
	Community Consultation & Participation	Compliance with Ch.4 of the Systems Act obligacy	MM, Mayo's Office, Corp Serv, Operational budget	Compliance with legally required community participation	Improved IDP content and Municipal/community relations, Accountability and transparency		Public Participation Strategy and Plan revised, approved and implemented	July 2008 onwards, QR	MM, Corp Serv	

Annexure B

PERSONAL DEVELOPMENT PLAN (PDP)

Entered into by and between

THABAZIMBI LOCAL MUNICIPALITY

["the Employer"]

and

Mr M D Lottering

["the Employee"]

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Explanatory Notes to the Personal Development Plan

1. Introduction

1.1 A Municipality should be committed to –

- (a) the continuous training and development of its employees to achieve its vision, mission and strategic objectives and empower employees; and
- (b) managing training and development within the ambit of relevant national policies and legislation.

1.2 A Municipality should follow an integrated approach to Human Resource Development, that is:

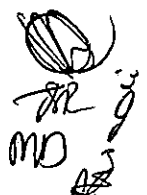
- (a) Human resource development should form an integral part of human resource planning and management.
- (b) In order for a municipality's training and development strategy and plans to be successful it should be based on sound Human Resource (HR) practices, such as the (strategic) HR Plan, job descriptions, the result of regular performance appraisals, career pathing, scarce skills and talent management and succession planning.
- (c) To ensure the necessary linkage with performance management, the municipality's Performance Management and Development System should provide for the Personal Development Plans of employees to be included in their annual Performance Agreements. Such approach will ensure the alignment of individual performance objectives to the municipality's strategic objectives, and that training and development needs are also identified during the performance management and appraisal process.
- (d) Career-pathing and succession planning ensures that employees are placed and developed in jobs according to aptitude and identified potential and through training and development acquire the necessary competencies to prepare them for future positions. Scarce skills and talent management also requires appropriate training, education and development interventions.

2. Competence Modeling

2.1 What does an institution mean when it says an employee / prospective employee is competent if he / she fits a managerial competency framework or occupational competency profile? The institution is in fact expressing competence as a **future-oriented** ideal that they require to achieve their strategic objectives [The institution is in effect giving a depiction of the desired or required knowledge, skills and attributes for an individual in a specific position]. For competence to be useful, the associated competence should be greater than the observed performance as it will allow the individual growth towards this 'ideal'.

2.2 There is however a risk in expressing a required competence that a current or prospective employee should adhere to in the future, as the future is, by definition, uncertain. Managers cannot know how an employee will perform in the future nor can they know how employees that they did not select, did not promote, did not award a qualification to, might perform.

2.3 Moreover, managers do not make their expressions in a social vacuum. They do so within a social context in which there are various actors, various stakeholders, with different interests accountabilities, different things they are trying to achieve and various ways in which others will hold them accountable. If managers are selecting employees they shall similarly have to justify their decisions to others. Relevance thus becomes an obvious issue that affects the level of confidence in such a decision. Various human resources procedures and systems need to be established to maintain the relevance of the expression of competence to the requirements of the employer. Confidence is the

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basis on which the various parties implicated in the decisions and actions taken within a competence system will seek to account to others for those decisions and actions.

- 2.4 When linking a decision that a prospective employee / current employee is competent the communication is based on what may be called conventions of assessment. Some common understanding is achieved by which a certain set of arrangements become socially accepted as the basis for linking different contexts. Contexts differ, in particular in terms of time. So performance in the past is linked to future situations in which desired performance is anticipated. This linking of contexts will normally involve some model, some way of accounting for the claimed link. The **dplg** has decided on:
- 2.4.1 A managerial competency framework as an expression of required managerial competencies.
 - 2.4.2 Occupational competency profiles as expression of occupation / post competency requirements.

3. Compiling the Personal Development Plan attached as the Appendix.

- 3.1 The aim of the compilation of Personal Development Plans (PDPs) is to identify, prioritise and implement training needs

- 3.2 The Local Government: Municipal Systems Act: Guidelines: Generic senior management competency framework and occupational competency profiles provides comprehensive information on the relevance of items 2.4.1 and 2.4.2 above to the PDP process. The Municipal Finance Management Competency Regulations, such as those developed by the National Treasury and other line sector departments' legislated competency requirements need also be taken into consideration during the PDP process.

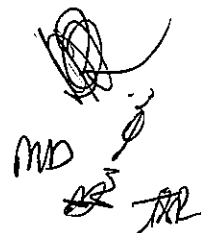
- 3.3 The assessment results of a manager against the minimum requirements contained in the managerial competency framework and occupational competency profiles will assist a manager, in consultation with his / her employee, to **compile a Personal Development Plan** as follows:

- (a) The identified training needs should be **entered into column 1 of the Appendix, entitled Skills / Performance Gap**. The following should be carefully determined during such a process:

- a. Organisational needs, which include the following:
 - o Strategic development priorities and competency requirements, in line with the municipality's strategic objectives.
 - o The competency requirements of individual jobs. The relevant job requirements (job competency profile) as identified in the job description should be compared to the current competency profile of the employee to determine the individual's competency gaps.
 - o Specific competency gaps as identified during the probation period and performance appraisal of the employee.
- b. Individual training needs that are job / career related.

- (b) Next, the **prioritisation of the training needs [1 to ...] in column 1 should also be determined** since it may not be possible to address all identified training needs in a specific financial year. It is however of critical importance that training needs be addressed on a phased and priority basis. This implies that all these needs should be prioritized for purposes of accommodating critical / strategic training and development needs in the HR Plan, Personal Development Plans and the Workplace Skills Plan.

- (c) Consideration must then be given to the **outcomes expected in column 2 of the Appendix**, so that once the intervention is completed the impact it had can be measured against relevant output indicators.



- (d) **An appropriate intervention** should be identified to address training needs / skills gaps and the outcome to be achieved but with due regard to cost effectiveness. These interventions should be listed in **column 3 of the Appendix, entitled: Suggested training and / or development activity**. The training / development must also be conducted either in line with a recognised qualification from a tertiary institution or unit standards registered on the National Qualifications Framework (South African Qualifications Authority), which could enable the trainee to obtain recognition towards a qualification for training undertaken. It is important to determine through the Training / Human Resource Development / Skills Development Unit within the municipality whether unit standards have been developed with regard to a specific outcome / skills gap identified (and registered with the South African Qualifications Authority). Unit standards usually have measurable assessment criteria to determine achieved competency. There is more detail on this in item 4 below.
- (e) **Guidelines regarding the number of training days per employee and the nominations of employees:** An employee should on average receive at least five days of training per financial year and not unnecessarily be withdrawn from training interventions.
- (f) **Column 4 of the Appendix: The suggested mode of delivery** refers to the chosen methodology that is deemed most relevant to ensure transfer of skills. The training / development activity should impact on delivery back in the workplace. Mode of delivery consists of, amongst others, self-study [The official takes it upon him / her to read e.g. legislation]; internal or external training provision; coaching and / or mentoring and exchange programmes, etc.
- (g) The **suggested time frames (column 5 of the Appendix)** enable managers to effectively plan for the annum e.g. so that not all their employees are away from work within the same period and also ensuring that the PDP is implemented systematically.
- (h) **Work opportunity created to practice skill / development areas, in column 6 of the Appendix**, further ensures internalisation of information gained as well as return on investment (not just a nice to have skill but a necessary to have skill that is used in the workplace).
- (i) The final column, **column 7 of the Appendix**, provides the employee with a **support person** that could act as coach or mentor with regard to the area of learning.

3.4 Personal Development Plans are compiled for individual employees and the data collated from all employees in the municipality forms the basis for the prescribed Workplace Skills Plan, which municipalities are required to compile as a basis for all training and education activities in the municipality, in a specific financial year and report on progress made to the Local Government Sector Education and Training Authority (LGSETA).

3.5 Funding should be made available for training, education and development, in line with the Skills Development Act, at least 1% of the personnel budget must be earmarked for it. Additional funding can also be secured in terms of the provisions of the Skills Development Levies Act from the LGSETA if:

- (a) A Skills Development Facilitator has been appointed.
- (b) The Workplace Skills Plan has been submitted.
- (c) A submission, including a Business Plan is submitted for additional grants [The LGSETA can be approached at Tel. 011 456 8579 for more information in this regard].

4. Life-long learning

4.1 It was agreed that an outcomes-based Lifelong Learning Development Framework would be the basis on which Curriculum 2005 would be developed. The basic principle is that learners should be able to progress to higher levels of achievement by mastering prescribed learning outcomes. Learning programmes should thus facilitate progression from one phase or learning outcome to another and from any starting point in the education and training system. Prior knowledge (acquired informally or by work experience, would also have to be assessed and credited. National qualifications would be awarded, at each of the levels of the National Qualifications Framework (NQF) [see the attached definitions] provided that candidates have accumulated certain combinations of credits and have abided by probable rules of combinations required for such qualifications.

4.2 Eight learning areas were identified to form the basis of all education up to the Further Education and Training Certificate:

Nr.	Learning Area
1	Language, Literacy and Communication
2	Mathematical Literacy, Mathematics and Mathematical Science
3	Human and Social Sciences
4	Natural Sciences
5	Technology
6	Arts and Culture
7	Economic and Management Sciences
8	Life Orientation

4.3 As is clear from the definitions, there will be four phases, with Adult Basic Education and Training (ABET) linked to the first three. The history of school education had the effect that the majority of the adult population for black communities, were provided with inadequate education or no schooling. Thus ABET is viewed as a force for social participation and economic development and has been brought into the mainstream of the education and training system. The underlying principles are that ABET should provide a general basic education, promote critical thinking and empower individuals to participate in all aspects of society, and promote active learning methods, and, ABET should lead to nationally recognized certificates based on clear national standards assessed as learning outcomes.

4.4 Once the foundation phase is addressed the other phases can follow suit. In this regard the discussion in item 3.3 (d) refers. Note should also be taken that in addressing professionalisation within the local government sector there may be a need to develop vocational qualifications.



Personal Development Plan of:

Appendix 1

Compiled on: 1 July 2008

1. Skills / Performance Gap (in order of priority)	2. Outcomes Expected (measurable indicators: quantity, quality and time frames)	3. Suggested training and / or development activity	4. Suggested mode of delivery	5. Suggested Time Frames	6. Work opportunity created to practice skill / development area	7. Support Person
E.g. 1. Appraise Performance of Managers	The manager will be able to enter into performance agreements with all managers reporting to him / her, appraise them against set criteria, within relevant time frames	A course containing theoretical and practical application with coaching in the workplace following [relevant unit standard?]	External provider, in line with identified unit standard and not exceeding R 6 000	March 200...	Appraisal of managers reporting to him / her	Senior Manager: Training
2.						
3.						

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Manager's signature:

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DEFINITIONS:

“Higher, Further and General Education and Training” refers to:

National Qualification Framework level	Levels	TYPES OF QUALIFICATIONS AND CERTIFICATES	
8	HIGHER EDUCATION AND TRAINING	Doctorates Further Research Degrees	
7		Higher Degrees Professional Qualifications	
6		First Degrees Higher Diplomas	
5		Diplomas Occupational Certificates	
FURTHER EDUCATION AND TRAINING CERTIFICATES			
4	FURTHER EDUCATION AND TRAINING	School/College/Training Certificate Mix of units from all	
3		School/College/Training Certificate Mix of units from all	
2		School/College/Training Certificate Mix of units from all	
GENERAL EDUCATION AND TRAINING CERTIFICATES			
1	GENERAL EDUCATION AND TRAINING	Senior Phase	ABET level 4
		Intermediate Phase	ABET level 3
		Foundation Phase	ABET level 2
			ABET level 1

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CORE MANAGERIAL COMPETENCIES - CMCs	
(1) STRATEGIC CAPABILITY & LEADERSHIP	PERFORMANCE INDICATORS
Provides a vision, sets the direction for the municipality and / or department and inspires others to deliver on the IDP mandate.	<p>Gives direction to team in realizing the municipality's strategic and development objectives.</p> <p>Impacts positively on team morale, sense of belonging and participation.</p> <p>Develops detailed action plans to execute strategic objectives.</p> <p>Assists in defining performance measures to evaluate the success of strategies.</p> <p>Translates strategies into action plans.</p> <p>Secures cooperation from colleagues and team members.</p> <p>Seeks mutual benefit / win-win outcomes for all concerned.</p> <p>Supports stakeholders in achieving their goals / satisfying their needs.</p> <p>Inspires staff with own behaviour – "walks the talk".</p> <p>Manages and calculates risk.</p> <p>Communicates IDP to the department.</p> <p>Utilizes strategic planning methods and tools.</p>
(2) PROGRAMME & PROJECT MANAGEMENT	PERFORMANCE INDICATORS
Plans, manages, monitors and evaluates specific activities in order to deliver the desired outputs, outcomes and targets.	<p>Establishes broad stakeholder involvement and communicates the project status and key milestones.</p> <p>Defines the roles and responsibilities for project team members and clearly communicates expectations.</p> <p>Balances quality of work with deadlines and budget.</p> <p>Identifies and manages risks to the project by assessing potential risks and building contingencies into the project plan.</p> <p>Uses computer software programmes or suitable alternative measures to help manage projects.</p> <p>Sets and manages service level agreements with contractors.</p> <p>Where applicable, manages alternative service delivery agreements.</p>
(3) FINANCIAL MANAGEMENT	PERFORMANCE INDICATORS
Compiles and manages budgets, controls cash flow, institutes risk management and administers tender procurement processes in accordance with generally accepted financial practices in order to ensure the achievement of strategic municipal objectives.	<p>Demonstrates knowledge of general concepts of financial planning, budgeting and forecasting and how they interrelate.</p> <p>Manages and monitors financial risk.</p> <p>Continuously looks for new opportunities to obtain and save funds.</p> <p>Prepares financial reports and guidelines based on prescribed format.</p> <p>Understands and weighs up financial implications and propositions.</p> <p>Understands, analyses and monitors financial reports.</p> <p>Allocates resources to established strategies and objectives.</p> <p>Aligns expenditure to cash flow projections.</p> <p>Ensures effective utilization of financial resources.</p> <p>Develops corrective measures / actions to ensure alignment of budget to financial resources.</p> <p>Prepares own budget in line with the development objectives of the municipality.</p>

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(4) CHANGE MANAGEMENT	PERFORMANCE INDICATORS
<p>Initiates, supports and champions organisational transformation and change in order to successfully implement new initiatives and deliver on service delivery commitments. Team building.</p>	<p>Perform analysis to determine the impact of changes in the social, political and economic environment. Keeps self and others calm and focused during times of change or ambiguity. Initiates, support and encourages new ideas. Volunteers to lead change efforts outside own work team. Consults and persuades all the relevant stakeholders of the need for change. Inspires and builds commitment within own area for the change by explaining the benefits of change, and the process of implementing the change. Coaches colleagues on how to manage change. Pro-actively seeks new opportunities for change. Identifies and assists in resolving resistance to change with stakeholders. Designs specific projects to enable change that are aligned to the organisational objectives. Uses the political, legislative and regulatory processes of local government to drive and implement change efforts.</p>
(5) KNOWLEDGE MANAGEMENT	PERFORMANCE INDICATORS
<p>Obtains, analyses and promotes the generation and sharing of knowledge and learning in order to enhance the collective knowledge of the organisation.</p>	<p>Uses appropriate information systems to manage organisational knowledge. Uses modern technology to stay abreast of world trends and information. Evaluates information from multiple sources and uses information to influence decisions. Creates mechanisms and structures for sharing of knowledge in the organisation. Uses libraries, researchers, knowledge specialists and other knowledge bases appropriately to improve organisational efficiency. Promotes the importance of knowledge sharing within own area. Adapts and integrates information from multiple sources to create innovative knowledge management solutions. Nurtures a knowledge-enabling environment.</p>
(6) SERVICE DELIVERY INNOVATION	PERFORMANCE INDICATORS
<p>Champions new ways of delivering services that contribute to the improvement of organisational processes in order to achieve organisational objectives.</p>	<p>Consults communities and stakeholders on ways to improve the delivery of services. Communicates the benefits of service delivery improvement opportunities to stakeholders. Identifies internal process improvement opportunities. Demonstrates full knowledge of principles on service delivery innovations. Identifies and analyses opportunities where innovative ideas can lead to improved service delivery. Creates mechanisms to encourage innovation and creativity within functional area and across the municipality. Implements innovative service delivery options in own department.</p>
(7) PROBLEM SOLVING & ANALYTICAL THINKING	PERFORMANCE INDICATORS
<p>Systematically identifies, analyses and resolves existing and anticipated problems in order to reach optimum solutions in a timely manner.</p>	<p>Explains potential impact of problems to own working environment. Demonstrates logical problem solving approach and provides rationale for proposed solutions. Determines root causes of problems and evaluates whether solutions address root causes. Demonstrates objectivity, thoroughness, insightfulness and probing behaviour when approaching problems. Demonstrates the ability to break down complex problems into manageable parts and identify solutions.</p>

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(8) PEOPLE & DIVERSITY MANAGEMENT	PERFORMANCE INDICATORS
<p>People management and empowerment (manages and encourages people, optimizes their outputs and effectively manages relationships in order to achieve organisational objectives).</p>	<p>Seeks opportunities to increase personal contribution and level of responsibility. Supports and respects the individuality of others and recognizes the benefits of diversity of ideas and approaches. Delegates and empowers others to increase contribution and level of responsibility. Applies labour and employment legislation and regulations consistently. Facilitates team goal setting and problem solving. Recognizes individuals and teams and provides developmental feedback in accordance with performance management principles. Adheres to internal and national standards with regards to HR practices. Deals with labour matters. Identifies competencies required and suitable resources for specific tasks. Displays personal interest in the well-being of colleagues and other stakeholders. Manages conflict through a participatory and transparent approach.</p>
(9) CLIENT ORIENTATION & CUSTOMER FOCUS	PERFORMANCE INDICATORS
<p>Willing and able to deliver services effectively and efficiently in order to put the spirit of customer services – Batho Pele – into practice.</p>	<p>Develops clear and implementable service delivery improvement programmes. Identifies opportunities to exceed the expectations of customers. Designs internal work processes to improve customer service. Adds value to the organisation by providing exemplary customer service. Applies customer rights in own work environment.</p>
(10) COMMUNICATION	PERFORMANCE INDICATORS
<p>Exchanges information and ideas in a clear and concise manner appropriate for the audience in order to explain, persuade, convince and / or influence others to achieve the desired outcomes.</p>	<p>Expresses ideas to individuals and groups both in formal and informal settings in an interesting and motivating way. Receptive to alternative viewpoints. Adapts communication content and style according to the audience including managing body language effectively. Delivers messages in a manner that gains support, commitment and agreement. Writes well structured, integrated documents. Communicates controversial or sensitive messages to stakeholders tactfully. Listens well and is receptive. Encourages participation and mutual understanding.</p>
(11) HONESTY & INTEGRITY	PERFORMANCE INDICATORS
<p>Accountability and ethical conduct should display and build the highest standards of ethical and moral conduct complying with generally accepted value systems in order to promote confidence and trust in the sphere of local government.</p>	<p>Conducts self in accordance with the municipal code of conduct for officials. Admits own mistakes and weaknesses and seeks help from others where unable to deliver. Reports fraud, corruption, nepotism and maladministration. Honours the confidentiality of matters and does not use it for personal gain or the gain of others. Discloses conflict of interests. Establishes trust and show confidence in others. Treats all employees with equal respect. Undertakes roles and responsibilities in a sincere and honest manner. Incorporates organisational values and beliefs into daily work. Uses work time for organisational matters and not for personal gain. Shares information openly, whilst respecting the principle of confidentiality. Accepts accountability for decisions / actions taken. Refrains from blaming others for mistakes and/or incorrect or inappropriate decisions.</p>

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CORE OCCUPATIONAL COMPETENCIES - COCs

(1) COMPETENCE IN SELF MANAGEMENT	PERFORMANCE INDICATORS
<p>Being able to manage oneself in respect of time, the performance of duties, improving one's skills and expertise in order to become a more self-motivated and driven manager.</p>	<p>Maintains an efficient diary. Prioritises work in accordance with the importance thereof. Improves his/her knowledge and skills on a continuous basis. Deals with incoming work in such a way that it does not cause delays throughout the system.</p>
(2) INTERPRETATION & IMPLEMENTATION WITH- IN LEGISLATIVE & NATIONAL POLICY FRAMEWORKS	PERFORMANCE INDICATORS
<p>Remains informed of all major legislation and newly developed policies in order that such legislation and policies can be successfully interpreted and implemented.</p>	<p>Remains informed of all new legislation and policies. Reports on such legislation and policies to Council so as to make Council aware of the implications thereof. Gives regular feedback to management and staff on new legislation and policies that affect them. Adapts the functional operation of the Municipality to be in compliance with such legislation and policies.</p>
(3) KNOWLEDGE OF PERFORMANCE MANAGEMENT AND REPORTING	PERFORMANCE INDICATORS
<p>Ensures that a good working knowledge of performance management and reporting is obtained, that the principles thereof are understood and applied in practice in order to ensure the successful implementation of performance management and reporting.</p>	<p>Updates his/her knowledge of performance management on a continuous basis. Ensures that reports in regard to performance management are regularly compiled in accordance with legal prescriptions. Identifies deviations from agreed to performance targets and institutes corrective measures. Participates in the performance appraisal of adjoining municipalities so as to broaden his/her knowledge of performance management.</p>
(4) KNOWLEDGE OF GLOBAL & SA POLITICAL, SOCIAL & ECONOMIC CONTEXTS	PERFORMANCE INDICATORS
<p>Expands a knowledge base in regard to political social and economic developments in South Africa and the rest of the world on a continuous basis in order to give direction in terms of political social and economic developments that impact on the Municipality.</p>	<p>Expands knowledge of political, social and economic aspects on a continuous basis e.g by reading widely on these topics, subscribing to journals in this regard or gaining information via the Internet. Advises Council and management especially during the IDP process of developments in these fields that could impact on the Municipality and, where such impacts are negative, to propose counter measures to minimise such negative impacts</p>

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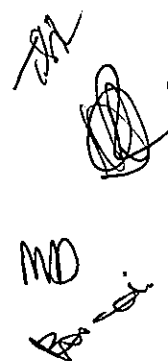
(5) POLICY CONCEPTUALISATION, ANALYSIS & IMPLEMENTATION	PERFORMANCE INDICATORS
<p>The ability to identify a need for a policy, the development of such a policy and to give practical effect to such an adopted policy.</p>	<p>Demonstrates awareness of a working environment that requires a policy directive. Is acquainted with the legal framework which dictates the development of a specific policy. Knows the fundamental principles which underpin the development of a successful policy. Is able to obtain inputs from identified stakeholders and interested and affected parties. Can successfully workshop draft policy inputs into an acceptable draft format thereby promoting inclusivity and legitimacy. Is able to draft a report motivating the need for the policy and the acceptance of the formulated draft policy. Ensuring inputs from the Council (or other final decision-making body) are incorporated into the final policy document. Is able to make role-players, affected by the adopted policy, aware of the contents and consequences of the policy. Provides guidance and/or training on how to implement the policy. Ensures that policy is correctly implemented and thereafter monitors such implementation. Takes corrective action where policy implementation isn't adhered to. Amends a policy, if found necessary, to satisfy changing circumstances.</p>
(6) KNOWLEDGE OF FUNCTIONAL MUNICIPAL FIELDS/DISCIPLINES	PERFORMANCE INDICATORS
<p>Expands his/her knowledge base in order to ensure that a holistic and objective perspective on all municipal disciplines is maintained.</p>	<p>Spends one day per month dedicated to a specific municipal activity/function. Attends at least one conference per year not related to own task. Reads journals related to different disciplines within the municipal context and displays concrete evidence of having absorbed such knowledge.</p>
(7) MEDIATION SKILLS	PERFORMANCE INDICATORS
<p>Mediation is the ability to resolve differences of opinion held by opposing parties, in such a manner that the differences are not only resolved, but that the relevant parties accept the outcome of the mediation.</p>	<p>Is able to identify situations where mediation may be required. Persuades opposing parties to accept the route of mediation. Instils adequate confidence in the parties that he/she is able to successfully mediate on the differences. Brings the parties to a point where they are prepared to forego preconceived points of views and to accept an opposing point of view from another party. Ensures that mediation process continues in spite of periodic objections from the parties. Formulates a compromise solution that is accepted by the concerned parties. Ensures that opposing parties perceive that the mediated solution created a win/win situation.</p>

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(8) GOVERNANCE SKILLS	PERFORMANCE INDICATORS
<p>Obtains a sound understanding of the concept of governance and applies it in practice so as to promote good governance within the Municipality.</p>	<p>Interacts in a constructive way with the political office bearers and other elected councillors. Ensures that the Batho Pele principles are understood and applied by staff. Ensures that community-based inputs are incorporated into e.g the IDP budget, policies and by-laws. Endeavours to obtain an unqualified audit report from the Auditor General and where qualifications are raised, that these are fully addressed within the next financial year. Practises sound public administration in accordance with the provisions of Section 195 of the Constitution. Upholds the codes of conduct as set out in Schedules 1 and 2 of the Systems Act. Ensures that the municipal website contains all the prescribed information and that such information is regularly updated.</p>

(9) COMPETENCE AS REQUIRED BY NATIONAL LINE SECTOR DEPTs	PERFORMANCE INDICATORS
<p>Determines which line sector departments have requirements in regard to duties to be performed and acquires the competencies as required by the identified line sector department.</p>	<p>Establishes which line sector department has the greatest need to interact with the Municipality. Establishes a liaison with such line sector department and determines the competencies to be acquired. Acquires the competencies by way of studying the applicable legislation, policies and other directives from the line sector department. Channels the knowledge through to the relevant municipal department so as to ensure that the greatest degree of synergy between the line sector department and the Municipality is achieved.</p>

(10) EXCEPTIONAL & DYNAMIC CREATIVITY TO IMPROVE FUNCTIONING OF MUNICIPALITY	PERFORMANCE INDICATORS
<p>Applies strategic, out-of-the-box thinking and suggests a way forward in order that the Municipality may function in an exceptional and dynamic manner.</p>	<p>Strategises with political office bearers and the management team in such a way that new concepts and ideas are generated. Displays the ability to challenge routine suggestions and recommendations and proposes unthought-of alternatives. Displays the ability to suggest innovative service delivery mechanisms. Suggests cost saving measures, enhances the skills and performance of members of staff and solves problems in an innovative and cost effective manner.</p>



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ANNEXURE D

ANNUAL BONUS RELATED PERFORMANCE APPRAISAL STANDARDS AND PROCEDURES

This annual bonus related performance assessment of the Municipal Manager and Managers is in accordance with the Municipal Performance Management Regulations, 1 August 2006.

It is informed by the Quarterly Performance Reviews which took place as scheduled in the Performance Agreements and uses the same evaluation scale and performance criteria as the Quarterly Reviews.

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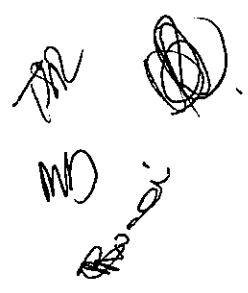
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PERFORMANCE APPRAISAL OF KPAs AND CCRs TO DETERMINE IF THE MANAGER QUALIFIES FOR A PERFORMANCE BONUS

LEVEL	DESCRIPTION	RATING	ASSESSMENT SCORE	PERFORMANCE BONUS RATIOS
Level 5: Outstanding Performance	Performance far exceeds the standard expected for the job in all areas of the manager. The manager has achieved exceptional results against all performance criteria and indicators specified in the Performance Plan and maintained this in all areas of responsibility throughout the year.	5	75 - 100	Maximum bonus allowed is between 10% and 14% of person's inclusive annual remuneration package The % as determined per Council Resolution is as follows: 75 - 76% - 10% 77 - 78% - 11% 79 - 80% - 12% 81 - 84% - 13% 85 - 100% - 14%
Level 4: Performance significantly above expectations	Performance is significantly higher than the standard expected for the job in all areas. The manager has achieved above fully effective results against more than half of the performance criteria and indicators specified in the Performance Plan and fully achieved all others throughout the year.	4	65 - 74	Maximum bonus allowed is between 5% and 9% of person's inclusive annual remuneration package The % as determined per Council Resolution is as follows: 65 - 66% - 5% 67 - 68% - 6% 69 - 70% - 7% 71 - 72% - 8% 73 - 74% - 9%
Level 3: Fully effective	Performance fully meets the standard expected for the job in all areas. The manager has achieved effective results against all significant performance criteria and indicators specified in the Performance Plan and may have achieved results significantly above expectations in one or two less significant areas throughout the year.	3	51 - 64	No bonus
Level 2: Performance not fully satisfactory	Performance is below the standard required for the job in key areas. The manager has achieved adequate results against many key performance criteria and indicators specified in the Performance Plan but did not fully achieve adequate results against others during the course of the year. Improvement in these areas is necessary to bring performance up to the standard expected.	2	31 - 50	No bonus
Level 1: Unacceptable performance	Performance does not meet the standard required for the job. The manager has not met one or more fundamental requirements and/or is achieving results that are well below the performance criteria and indicators in a number of significant areas of responsibility. The manager has failed to demonstrate the commitment or ability to bring performance up to the level expected despite efforts to encourage improvement.	1	Less than 30	No bonus



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